



# Financial Planning Review Organizer

## It is time for your financial planning review.

*Please provide the following documents in our next meeting:*

### Investment and Retirement Accounts

- Statements for all non-Benjamin F. Edwards & Co. held investment accounts, including individual retirement plans (IRAs) and mutual funds
- Statements for any retirement plans held through your current or former employer – 401(k), 403(b), 457 plans, IRAs, pensions, stock options
- College fund account statements – 529 plans, Coverdell Education Savings Plans (ESAs), custodial accounts (UTMA/UGMA)

### Social Security

- Social Security benefits statement (which can be accessed online at [www.ssa.gov/myaccount](http://www.ssa.gov/myaccount))

### Insurance Policies

- Insurance policy statements - life, disability, and long-term care, including those provided through your employer

### Annuity Statements

- Statements for all annuities, such as fixed, variable, immediate or deferred income annuities

### Bank Statements

- Current bank statements – checking and savings
- Certificates of deposit (CDs) statements that include maturity dates and interest rates

### Mortgage and Other Debt Payments

- A recent mortgage statement – monthly principal and interest and current market value of your home
- Statements for personal loans such as home equity, auto/boat, or business loans
- Other personal debt like credit card balances, student loans, lines of credit

### Investment Properties

- Information on rental properties – mortgage, income, value
- Information on business/real estate assets – mortgage, income, value

### Personal Financial Information

- Stock certificates, savings bonds and other financial information held at home
- Last year's federal and state tax returns
- Dates of birth for all of your beneficiaries ■

*The information provided will be used to develop a financial plan. It is made available with the understanding that Benjamin F. Edwards & Co. is not engaged in rendering legal, accounting or tax preparation services. Specific questions on taxes or legal matters as they relate to your individual situation should be directed to your tax or legal professional. You should consult your financial advisor or other relevant professional before making any decisions.*